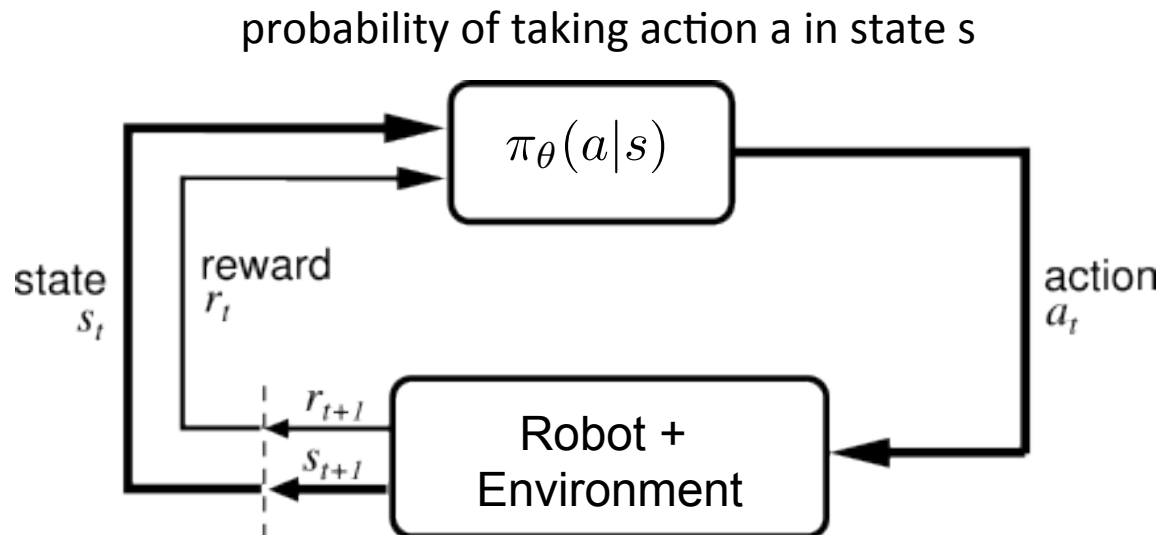


## Deep Reinforcement Learning for Robotics

Pieter Abbeel

UC Berkeley / OpenAI / gradescope.com [code: ICML2016]

# Deep Reinforcement Learning (RL)



- Goal:

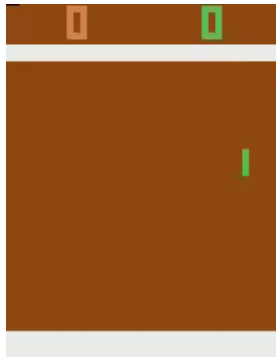
$$\max_{\theta} \mathbb{E}\left[\sum_{t=0}^H R(s_t) \mid \pi_{\theta}\right]$$

- **Additional challenges:**

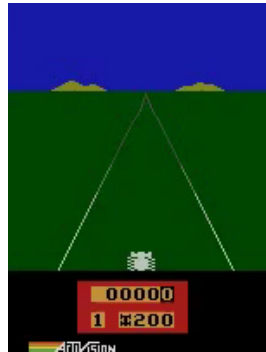
- **Stability**
- **Credit assignment**
- **Exploration**

# From Pixels to Actions?

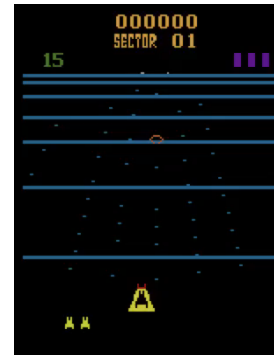
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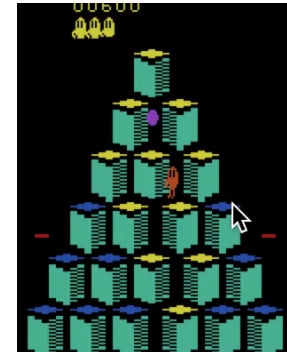
Pong



Enduro

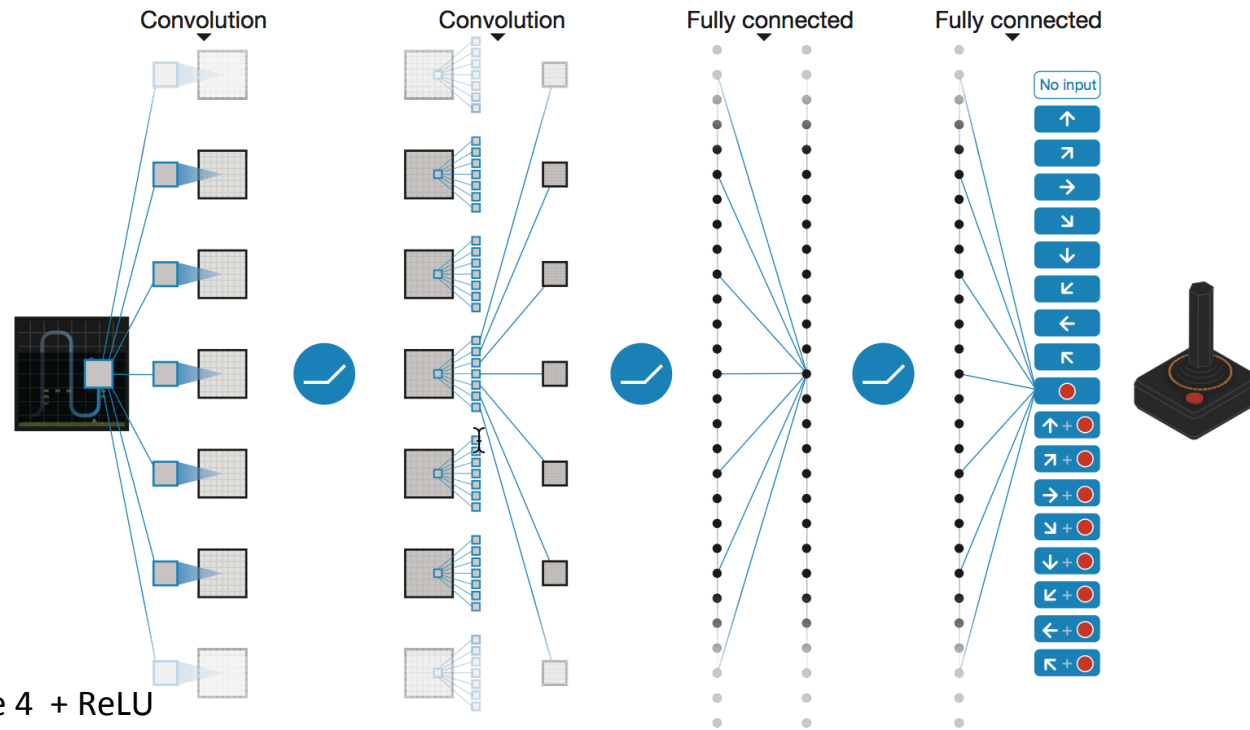


Beamrider



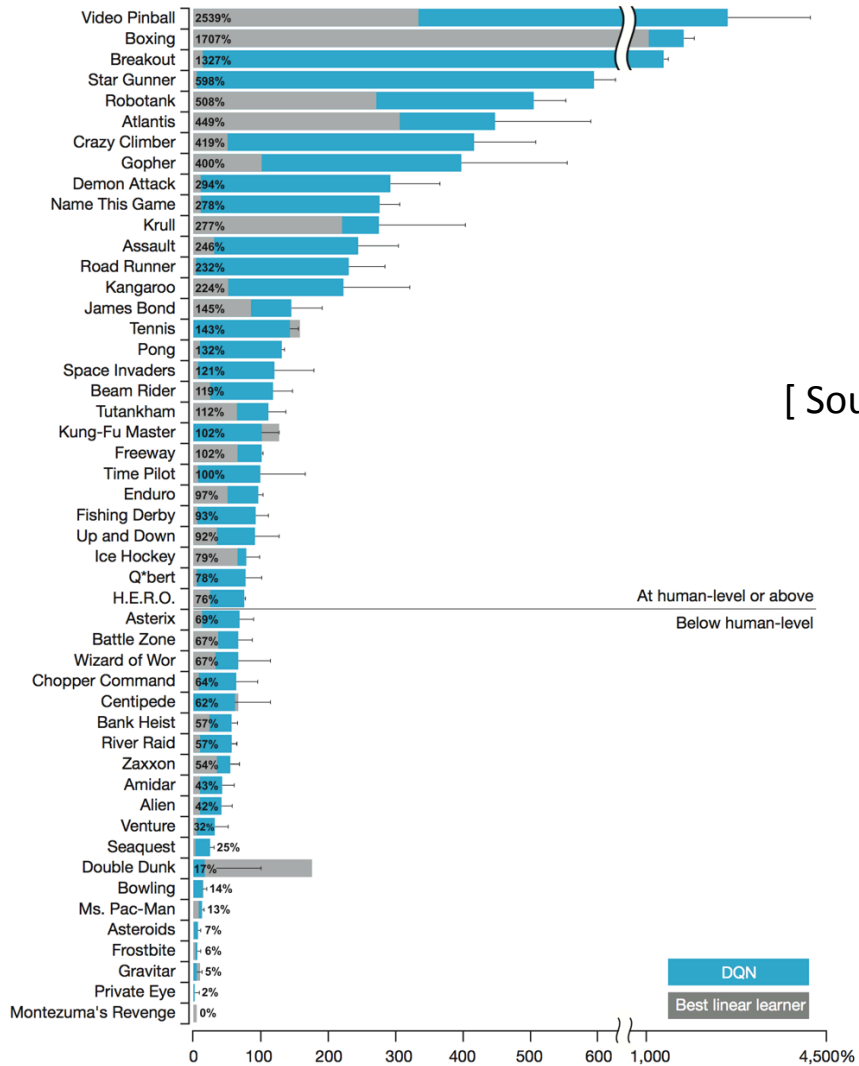
Q\*bert

# Deep Q-Network (DQN): From Pixels to Joystick Commands



32 8x8 filters with stride 4 + ReLU  
64 4x4 filters with stride 2 + ReLU  
64 3x3 filters with stride 1 + ReLU  
fully connected 512 units + ReLU  
fully connected output units, one per action

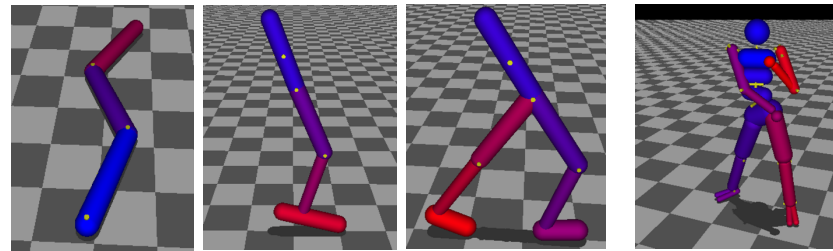
[Source: Mnih et al., Nature 2015 (DeepMind) ]  
Pieter Abbeel -- UC Berkeley / OpenAI / Gradescope



[ Source: Mnih et al., Nature 2015 (DeepMind) ]

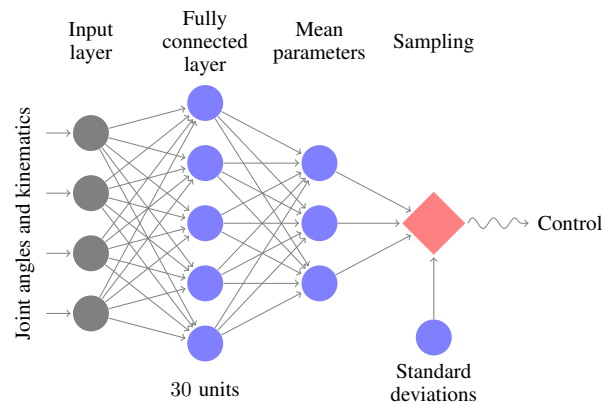
# How About Continuous Control, e.g., Locomotion?

Robot models in physics simulator  
(MuJoCo, from Emo Todorov)



Input: joint angles and velocities  
Output: joint torques

Neural network architecture:



# Challenges with Q-Learning

---

- How to score every possible action?
- How to ensure monotonic progress?

# Policy Optimization

---

$$\max_{\theta} \mathbb{E}\left[\sum_{t=0}^H R(s_t) \mid \pi_{\theta}\right]$$

- Often simpler to represent good policies than good value functions
- True objective of expected cost is optimized (vs. a surrogate like Bellman error)
  
- Existing work: (natural) policy gradients
  - Challenges: good, large step directions



# Trust Region Policy Optimization

---

[Schulman, Levine, Moritz, Jordan, Abbeel, 2015]

$$\max_{\theta} \mathbb{E}\left[\sum_{t=0}^H R(s_t) \mid \pi_{\theta}\right]$$

$$\max_{\delta\theta} \hat{L}(\theta + \delta\theta)$$

$$\text{s.t. } \text{KL}(P(\tau; \theta) \parallel P(\tau; \theta + \delta\theta)) \leq \varepsilon$$

- $\hat{L}$  : Surrogate Objective
- KL: Trust region

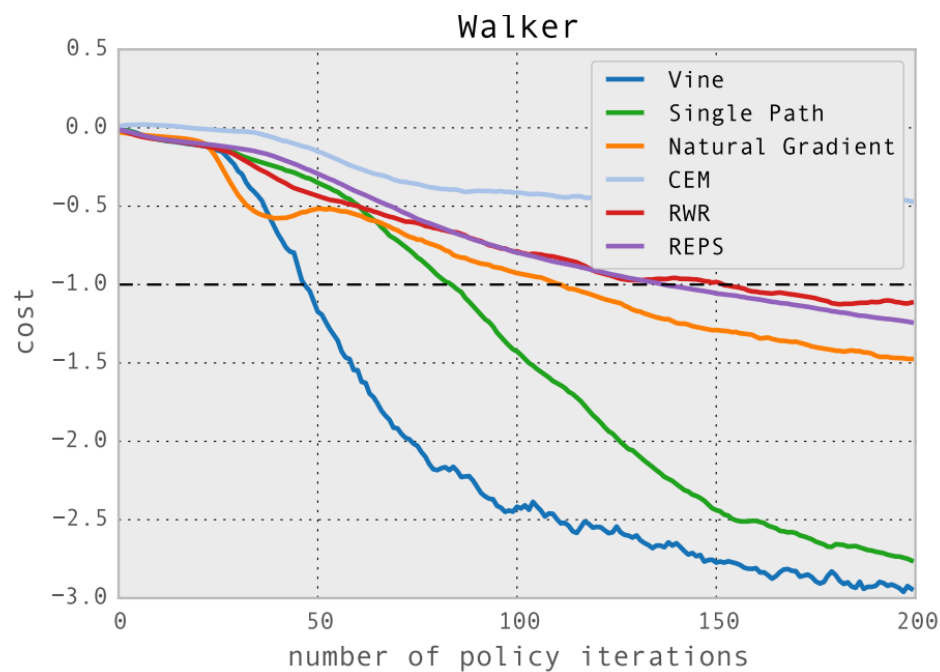
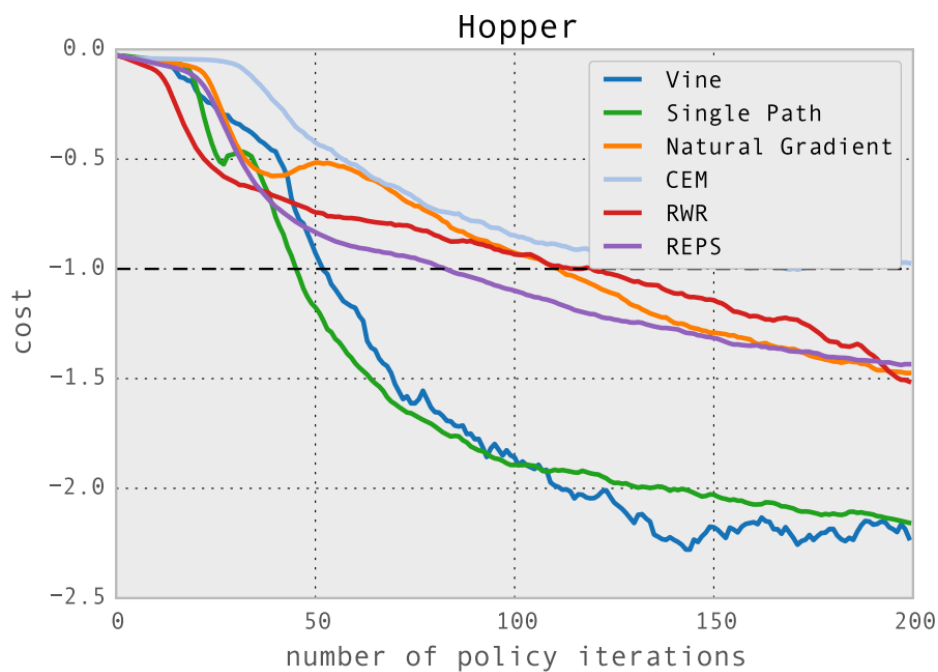
# Experiments in Locomotion

---

Our algorithm was tested on  
three locomotion problems  
in a physics simulator

The following gaits were obtained

# Learning Curves -- Comparison



# Generalized Advantage Estimation (GAE)

---

Objective: 
$$\max_{\theta} \mathbb{E}\left[\sum_{t=0}^H R(s_t) \mid \pi_{\theta}\right]$$

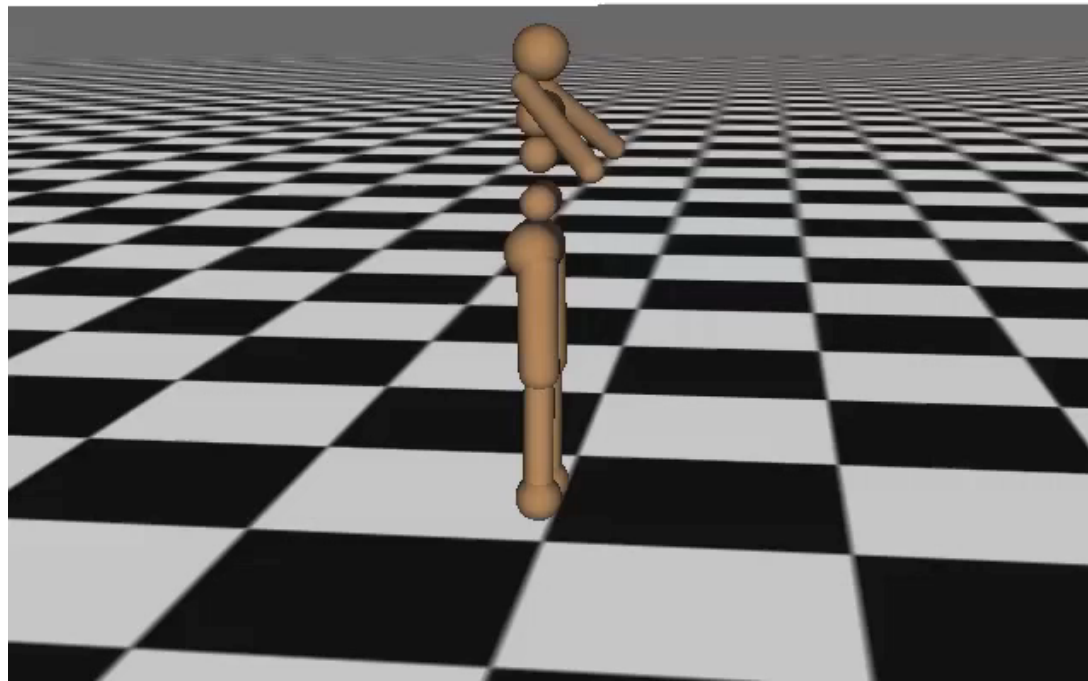
Gradient: 
$$\mathbb{E}\left[\sum_{t=0}^H \nabla_{\theta} \log \pi_{\theta}(a_t \mid s_t) \left(\underbrace{\sum_{k=t}^H R(s_k) - V(s_t)}_{\text{single sample estimate of advantage}}\right)\right]$$

- Generalized Advantage Estimation
  - Exponential interpolation between actor-critic and Monte Carlo estimates
  - Trust region approach to (high-dimensional) value function estimation

# Learning Locomotion through Trust Region Policy Optimization (TRPO)

---

Iteration 0



[Schulman, Moritz, Levine, Jordan, Abbeel, ICLR 2016]

Pieter Abbeel -- UC Berkeley / OpenAI / Gradescope

# Atari Games

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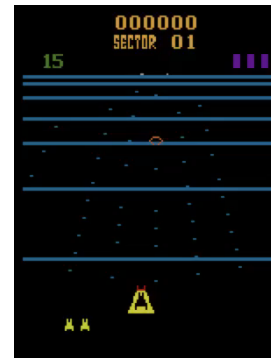
- Deep Q-Network (DQN) [Mnih et al, 2013/2015]
- Dagger with Monte Carlo Tree Search [Xiao-Xiao et al, 2014]
- Trust Region Policy Optimization [Schulman, Levine, Moritz, Jordan, Abbeel, 2015]
- A3C [Mnih et al., 2016]



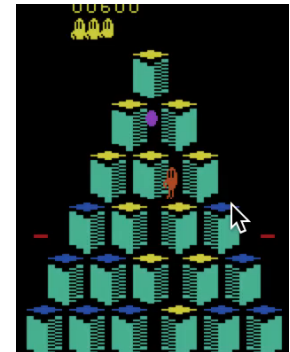
Pong



Enduro



Beamrider



Q\*bert

# Deep RL Benchmarking

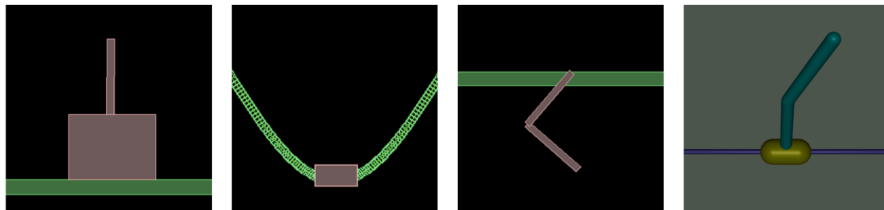
---

- Tasks
- Algorithms
- Experimental setup

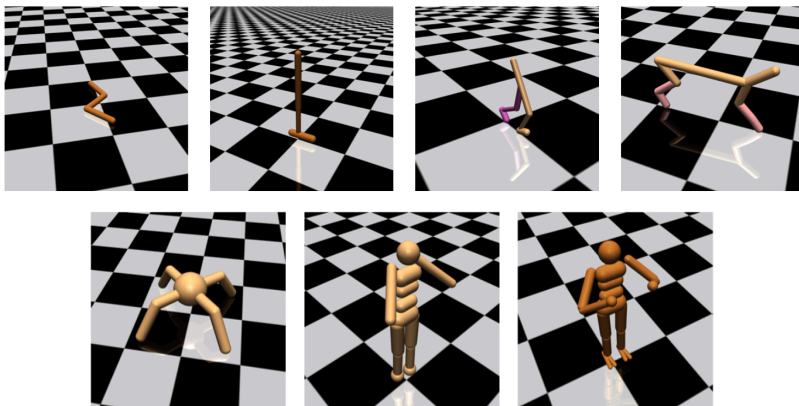
# Deep RL Benchmarking -- Tasks

---

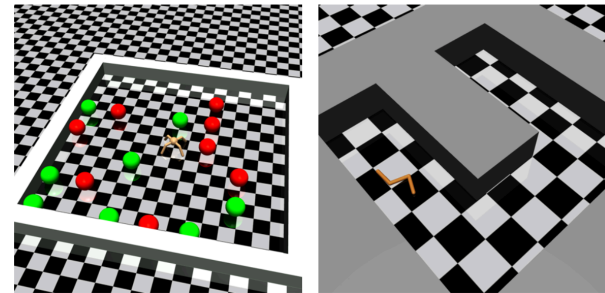
## 1. Basic tasks



## 2. Locomotion



## 3. Hierarchical



## 4. Partially observable

sensing, delayed action, sysID

## 5. Driving...



# Deep RL Benchmarking -- Algorithms

---

- Reinforce
- Truncated Natural Policy Gradient
- Reward-Weighted Regression (RWR)
- Relative Entropy Policy Search (REPS)
- Trust-Region Policy Optimization (TRPO)
- Cross-Entropy Method (CEM)
- Covariance Matrix Adaptation Evolution Strategy (CMA-ES)
- Deep Deterministic Policy Gradients (DDPG)
- ...

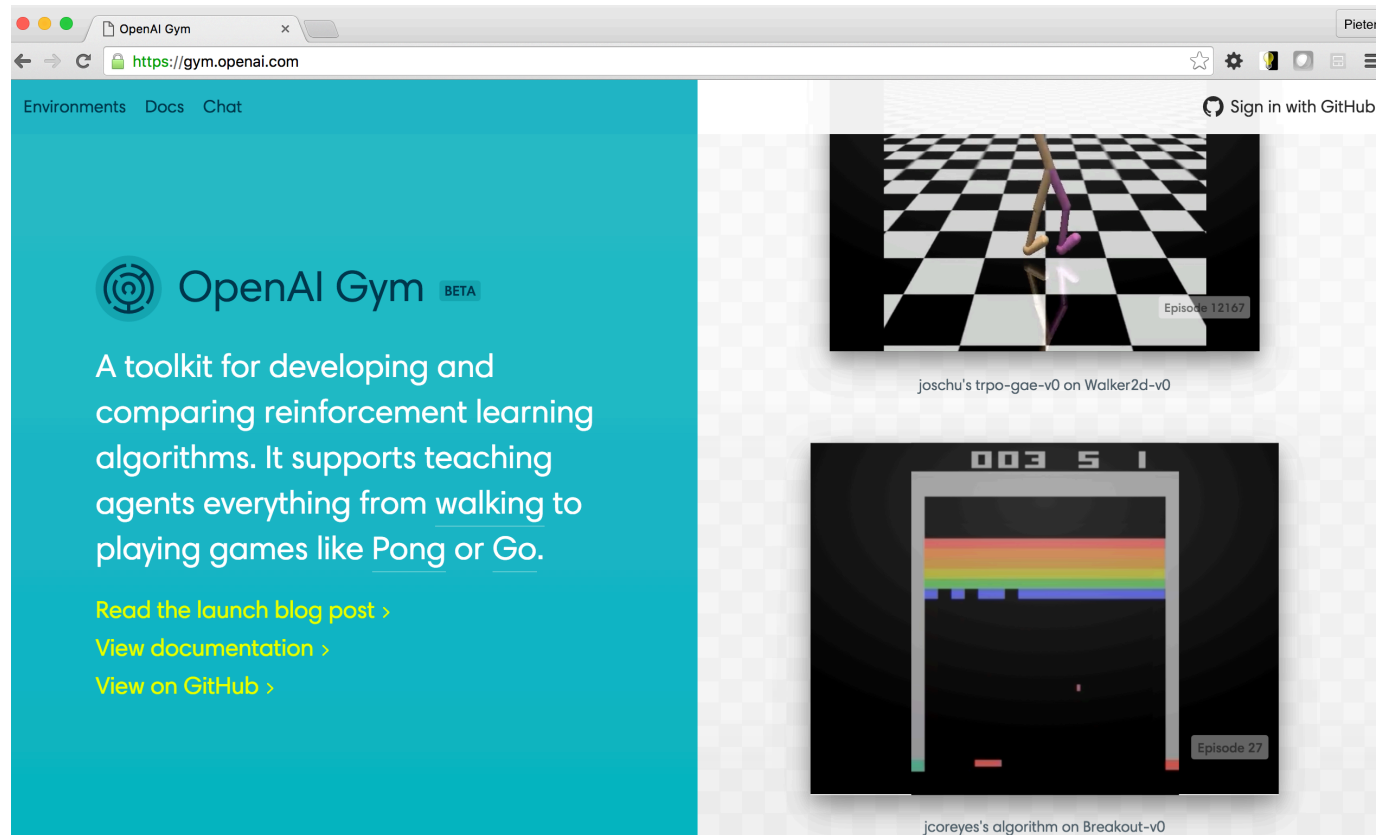
# Benchmarking [Duan et al, ICML 2016]

Table 1. Performance of the implemented algorithms in terms of average return over all training iterations for five different random seeds (same across all algorithms). The results of the best-performing algorithm on each task are highlighted in boldface. In the tasks column, the partially observable variants of the tasks are annotated as follows: LS stands for limited sensors, NO for noisy observations and delayed actions, and SI for system identifications. The notation N/A denotes that an algorithm has failed on the task at hand, e.g., CMA-ES leading to out-of-memory errors in the Full Humanoid task.

Task	Random	VPG	TNPG	RWR	REPS	TRPO	CEM	CMA-ES
Cart-Pole Balancing	77.1 ± 0.0	4693.7 ± 14.0	3986.4 ± 748.9	4861.5 ± 12.3	565.6 ± 137.6	<b>4869.8 ± 37.6</b>	4815.4 ± 4.8	2440.4 ± 568.3
Inverted Pendulum*	-153.4 ± 0.2	13.4 ± 18.0	209.7 ± 55.5	84.7 ± 13.8	-113.3 ± 4.6	<b>247.2 ± 76.1</b>	38.2 ± 25.7	-40.1 ± 5.7
Mountain Car	-415.4 ± 0.0	-67.1 ± 1.0	-66.5 ± 4.5	-79.4 ± 1.1	-275.6 ± 166.3	<b>-61.7 ± 0.9</b>	-66.0 ± 2.4	-85.0 ± 7.7
Acrobot	-1904.5 ± 1.0	-508.1 ± 91.0	-395.8 ± 121.2	-352.7 ± 35.9	-1001.5 ± 10.8	<b>-326.0 ± 24.4</b>	-436.8 ± 14.7	-785.6 ± 13.1
Double Inverted Pendulum*	149.7 ± 0.1	4116.5 ± 65.2	<b>4455.4 ± 37.6</b>	3614.8 ± 368.1	446.7 ± 114.8	4412.4 ± 50.4	2566.2 ± 178.9	1576.1 ± 51.3
Swimmer*	-1.7 ± 0.1	92.3 ± 0.1	<b>96.0 ± 0.2</b>	60.7 ± 5.5	3.8 ± 3.3	<b>96.0 ± 0.2</b>	68.8 ± 2.4	64.9 ± 1.4
Hopper	8.4 ± 0.0	714.0 ± 29.3	1155.1 ± 57.9	553.2 ± 71.0	86.7 ± 17.6	<b>1183.3 ± 150.0</b>	63.1 ± 7.8	20.3 ± 14.3
2D Walker	-1.7 ± 0.0	506.5 ± 78.8	<b>1382.6 ± 108.2</b>	136.0 ± 15.9	-37.0 ± 38.1	1353.8 ± 85.0	84.5 ± 19.2	77.1 ± 24.3
Half-Cheetah	-90.8 ± 0.3	1183.1 ± 69.2	1729.5 ± 184.6	376.1 ± 28.2	34.5 ± 38.0	<b>1914.0 ± 120.1</b>	330.4 ± 274.8	441.3 ± 107.6
Ant*	13.4 ± 0.7	548.3 ± 55.5	706.0 ± 127.7	37.6 ± 3.1	39.0 ± 9.8	<b>730.2 ± 61.3</b>	49.2 ± 5.9	17.8 ± 15.5
Simple Humanoid	41.5 ± 0.2	87.6 ± 2.3	276.6 ± 31.7	56.7 ± 3.8	36.8 ± 4.0	<b>493.9 ± 75.5</b>	98.8 ± 11.5	115.9 ± 2.7
Full Humanoid	13.2 ± 0.1	214.4 ± 35.0	282.9 ± 19.8	42.3 ± 3.4	45.5 ± 4.1	<b>455.9 ± 22.8</b>	104.0 ± 14.5	N/A ± N/A
Cart-Pole Balancing (LS)*	77.1 ± 0.0	420.9 ± 265.5	945.1 ± 27.8	68.9 ± 1.5	898.1 ± 22.1	<b>960.2 ± 46.0</b>	227.0 ± 223.0	68.0 ± 1.6
Inverted Pendulum (LS)	-122.1 ± 0.1	-13.4 ± 3.2	0.7 ± 6.1	-107.4 ± 0.2	-87.2 ± 8.0	<b>4.5 ± 4.1</b>	-81.2 ± 33.2	-62.4 ± 3.4
Mountain Car (LS)	-83.0 ± 0.0	-81.2 ± 0.6	-65.7 ± 9.0	-81.7 ± 0.1	-82.6 ± 0.4	<b>-64.2 ± 9.5</b>	-68.9 ± 1.3	-73.2 ± 0.6
Acrobot (LS)*	-393.2 ± 0.0	-128.9 ± 11.6	-84.6 ± 2.9	-235.9 ± 5.3	-379.5 ± 1.4	<b>-83.3 ± 9.9</b>	-149.5 ± 15.3	-159.9 ± 7.5
Cart-Pole Balancing (NO)*	101.4 ± 0.1	616.0 ± 210.8	<b>916.3 ± 23.0</b>	93.8 ± 1.2	99.6 ± 7.2	606.2 ± 122.2	181.4 ± 32.1	104.4 ± 16.0
Inverted Pendulum (NO)	-122.2 ± 0.1	6.5 ± 1.1	<b>11.5 ± 0.5</b>	-110.0 ± 1.4	-119.3 ± 4.2	10.4 ± 2.2	-55.6 ± 16.7	-80.3 ± 2.8
Mountain Car (NO)	-83.0 ± 0.0	-74.7 ± 7.8	-64.5 ± 8.6	-81.7 ± 0.1	-82.9 ± 0.1	<b>-60.2 ± 2.0</b>	-67.4 ± 1.4	-73.5 ± 0.5
Acrobot (NO)*	-393.5 ± 0.0	-186.7 ± 31.3	-164.5 ± 13.4	-233.1 ± 0.4	-258.5 ± 14.0	<b>-149.6 ± 8.6</b>	-213.4 ± 6.3	-236.6 ± 6.2
Cart-Pole Balancing (SI)*	76.3 ± 0.1	431.7 ± 274.1	<b>980.5 ± 7.3</b>	69.0 ± 2.8	702.4 ± 196.4	980.3 ± 5.1	746.6 ± 93.2	71.6 ± 2.9
Inverted Pendulum (SI)	-121.8 ± 0.2	-5.3 ± 5.6	<b>14.8 ± 1.7</b>	-108.7 ± 4.7	-92.8 ± 23.9	14.1 ± 0.9	-51.8 ± 10.6	-63.1 ± 4.8
Mountain Car (SI)	-82.7 ± 0.0	-63.9 ± 0.2	-61.8 ± 0.4	-81.4 ± 0.1	-80.7 ± 2.3	<b>-61.6 ± 0.4</b>	-63.9 ± 1.0	-66.9 ± 0.6
Acrobot (SI)*	-387.8 ± 1.0	-169.1 ± 32.3	<b>-156.6 ± 38.9</b>	-233.2 ± 2.6	-216.1 ± 7.7	-170.9 ± 40.3	-250.2 ± 13.7	-245.0 ± 5.5
Swimmer + Gathering	0.0 ± 0.0	0.0 ± 0.0	0.0 ± 0.0	0.0 ± 0.0	0.0 ± 0.0	0.0 ± 0.0	0.0 ± 0.0	0.0 ± 0.0
Ant + Gathering	-5.8 ± 5.0	<b>-0.1 ± 0.1</b>	-0.4 ± 0.1	-5.5 ± 0.5	-6.7 ± 0.7	-0.4 ± 0.0	-4.7 ± 0.7	N/A ± N/A
Swimmer + Maze	0.0 ± 0.0	0.0 ± 0.0	0.0 ± 0.0	0.0 ± 0.0	0.0 ± 0.0	0.0 ± 0.0	0.0 ± 0.0	0.0 ± 0.0
Ant + Maze	0.0 ± 0.0	0.0 ± 0.0	0.0 ± 0.0	0.0 ± 0.0	0.0 ± 0.0	0.0 ± 0.0	0.0 ± 0.0	N/A ± N/A


The screenshot shows a web browser window with the URL `rllab.readthedocs.io/en/latest/index.html`. The page features a dark blue sidebar on the left with the 'rllab latest' logo and a search bar. The sidebar lists navigation items: Installation, Running Experiments, Integrating with OpenAI Gym, Implementing New Environments, Implementing New Algorithms (Basic), and Implementing New Algorithms (Advanced). A 'WRITE THE DOCS' banner is also present in the sidebar. The main content area has a breadcrumb 'Docs » Welcome to rllab' and an 'Edit on GitHub' link. The main heading is 'Welcome to rllab', followed by a paragraph: 'rllab is a framework for developing and evaluating reinforcement learning algorithms. rllab is a work in progress, input is welcome. The available documentation is limited for now.' Below this is a 'User Guide' section with a paragraph: 'The rllab user guide explains how to install rllab, how to run experiments, and how to implement new MDPs and new algorithms.' A bulleted list of links follows: Installation (with sub-links: Preparation, Express Install, Manual Install), Running Experiments (with sub-link: Stub Mode Experiments), Integrating with OpenAI Gym (with sub-link: Comparison between rllab and OpenAI Gym), Implementing New Environments, and Implementing New Algorithms (Basic) (with sub-links: Preliminaries, Setup, Collecting Samples). At the bottom of the sidebar, it says 'Read the Docs v. latest'.

# Open AI Gym



The screenshot shows the OpenAI Gym website in a browser window. The browser's address bar displays `https://gym.openai.com`. The page has a teal header with navigation links for "Environments", "Docs", and "Chat". The main content area features the OpenAI Gym logo and the text "OpenAI Gym BETA". Below this, a paragraph describes the toolkit as a tool for developing and comparing reinforcement learning algorithms, supporting tasks from walking to playing games like Pong or Go. Three links are provided: "Read the launch blog post >", "View documentation >", and "View on GitHub >". To the right, there are two game demos. The top demo shows a 3D robot on a checkered floor, labeled "joschu's trpo-gae-v0 on Walker2d-v0" with "Episode 12167". The bottom demo shows a 2D Breakout game, labeled "jcoreyes's algorithm on Breakout-v0" with "Episode 27". A "Sign in with GitHub" button is visible in the top right corner of the page content.

Environments Docs Chat

 OpenAI Gym BETA

A toolkit for developing and comparing reinforcement learning algorithms. It supports teaching agents everything from walking to playing games like Pong or Go.

[Read the launch blog post >](#)  
[View documentation >](#)  
[View on GitHub >](#)

Sign in with GitHub

Episode 12167

joschu's trpo-gae-v0 on Walker2d-v0

Episode 27

jcoreyes's algorithm on Breakout-v0

# Curiosity-driven Exploration

[Houthoofd, Chen, Duan, Schulman, Turck, Abbeel, 2016]

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$$r'(s_t, a_t, s_{t+1}) = r(s_t, a_t) + \eta D_{\text{KL}}[p(\theta | \xi_t, a_t, s_{t+1}) || p(\theta | \xi_t)]$$

Building on:

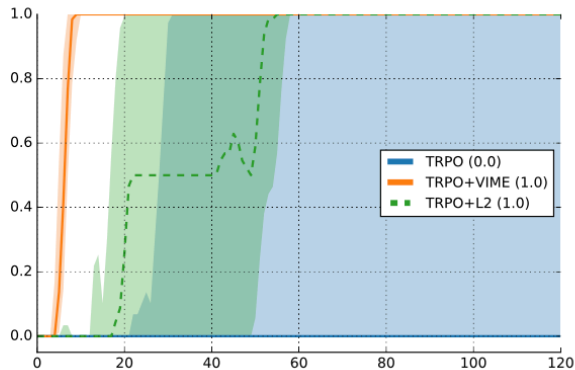
Curiosity: Schmidhuber , 1991; Sun, Gomez,  
Schmidhuber, 2011; Schmidhuber, 2010

Bayesian neural nets: Blundell, Cornebise, Kavukcuoglu,  
Wierstra, 2015

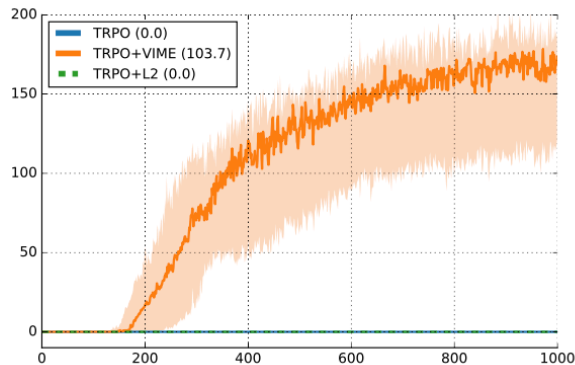
Pieter Abbeel -- UC Berkeley / OpenAI / Gradescope

# Curiosity-driven Exploration

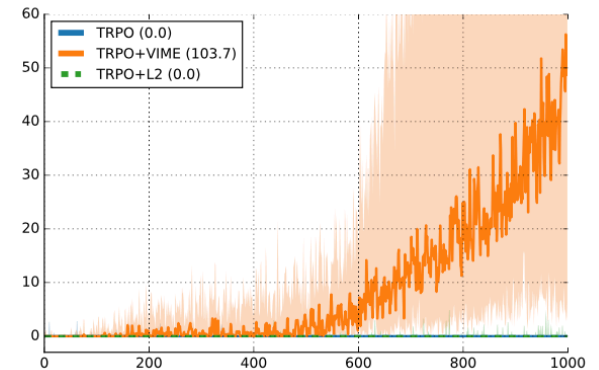
[Houthoofd, Chen, Duan, Schulman, Turck, Abbeel, 2016]



(a) MountainCar



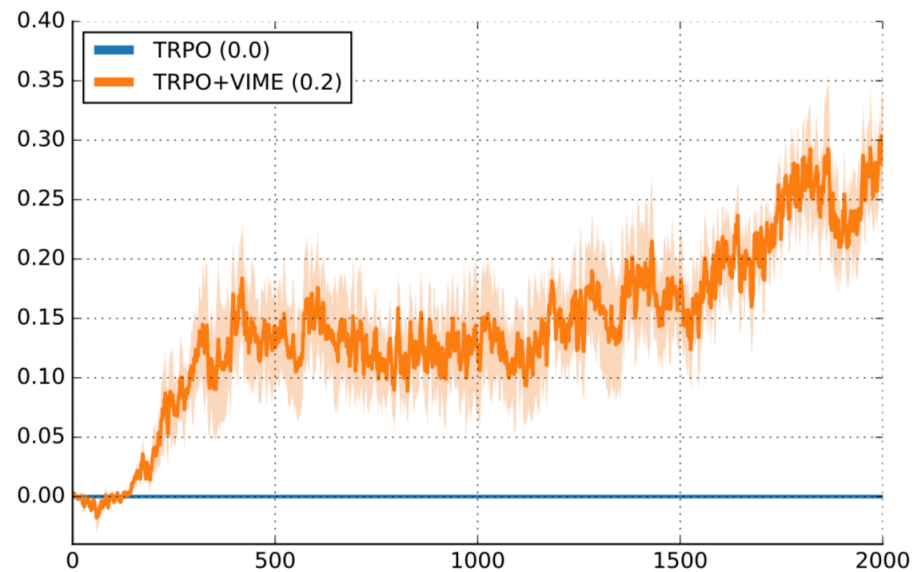
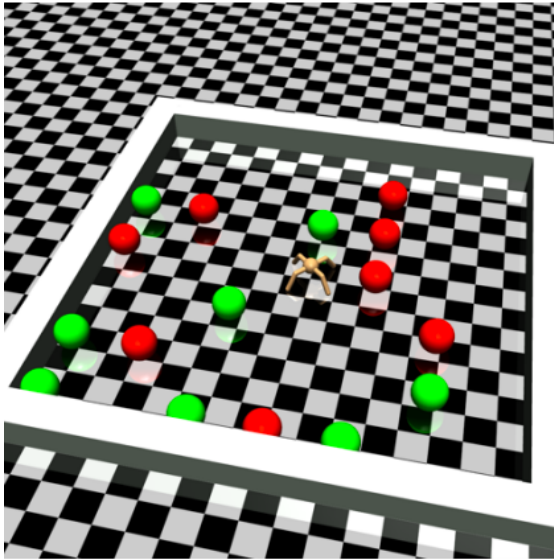
(b) CartPoleSwingup



(c) HalfCheetah

# Curiosity-driven Exploration

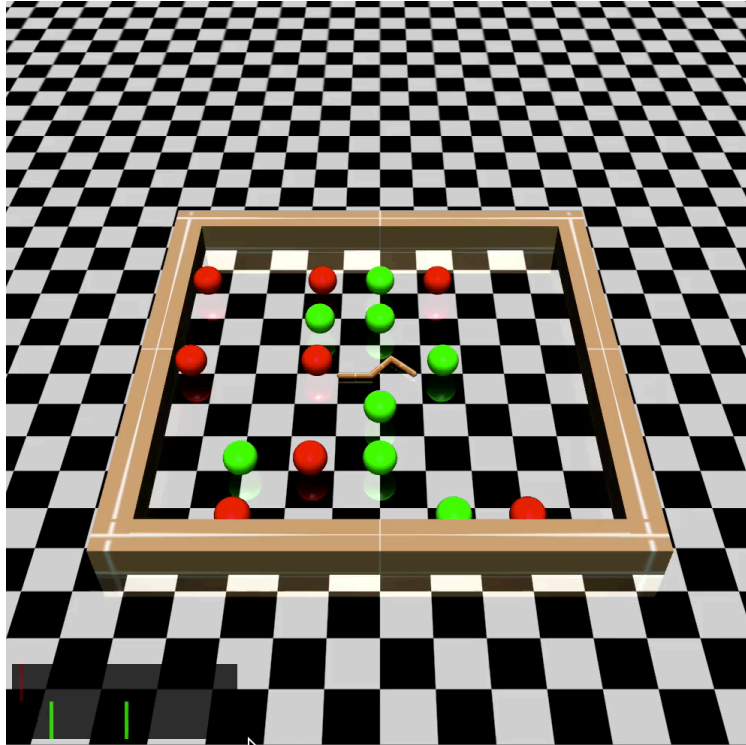
[Houthoofd, Chen, Duan, Schulman, Turck, Abbeel, 2016]



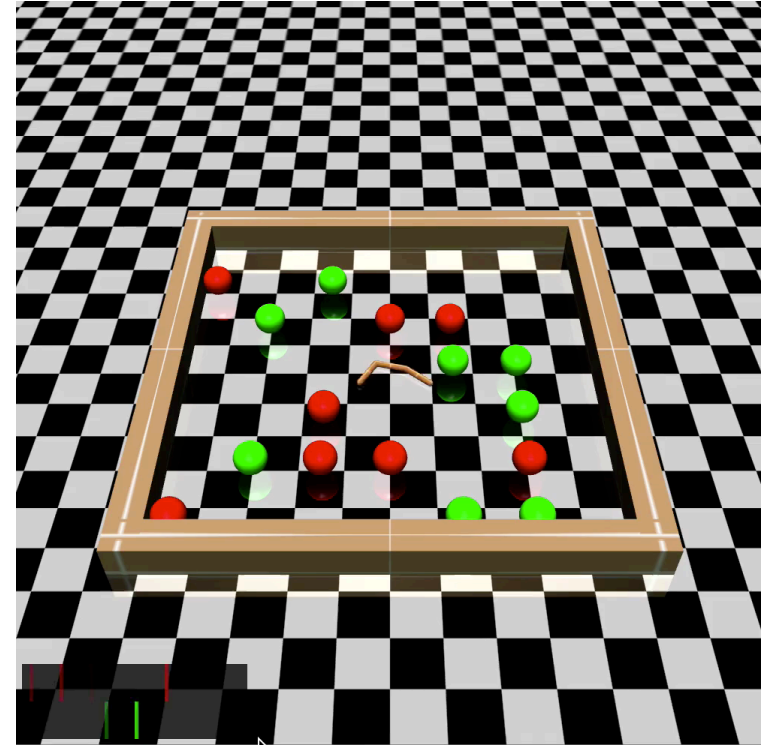
Swimmer + Food Collection

# Curiosity-driven Exploration

[Houthoofd, Chen, Duan, Schulman, Turck, Abbeel, 2016]



**TRPO**



**TRPO + VIME**

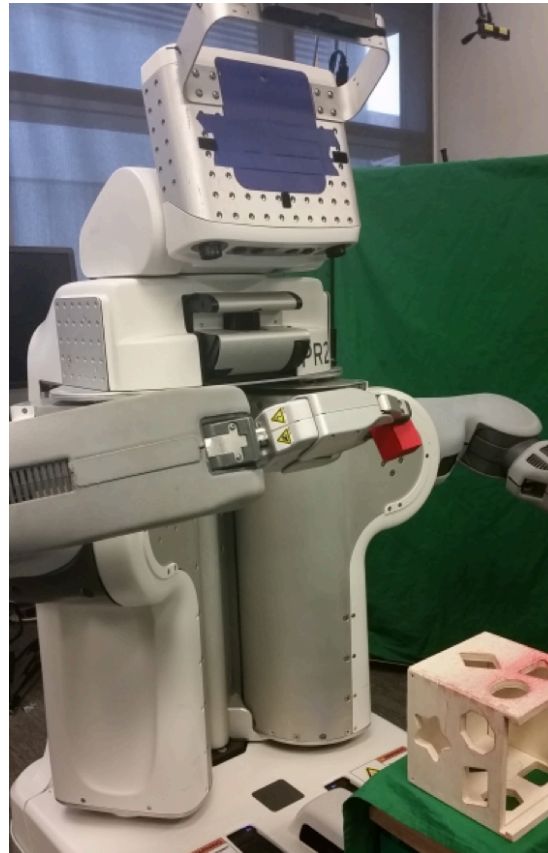
Swimmer + Food Collection

Pieter Abbeel -- UC Berkeley / OpenAI / Gradescope



# How About Real Robotic Visuo-Motor Skills?

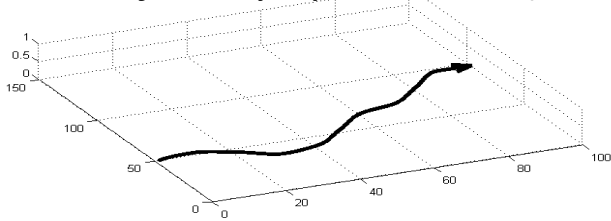
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Pieter Abbeel -- UC Berkeley / OpenAI / Gradescope

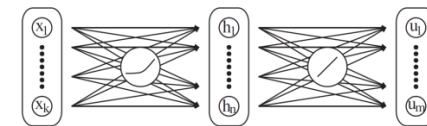
# Guided Policy Search

Model-Based RL (through trajectory optimization)



Supervised learning

General Neural Net Policy



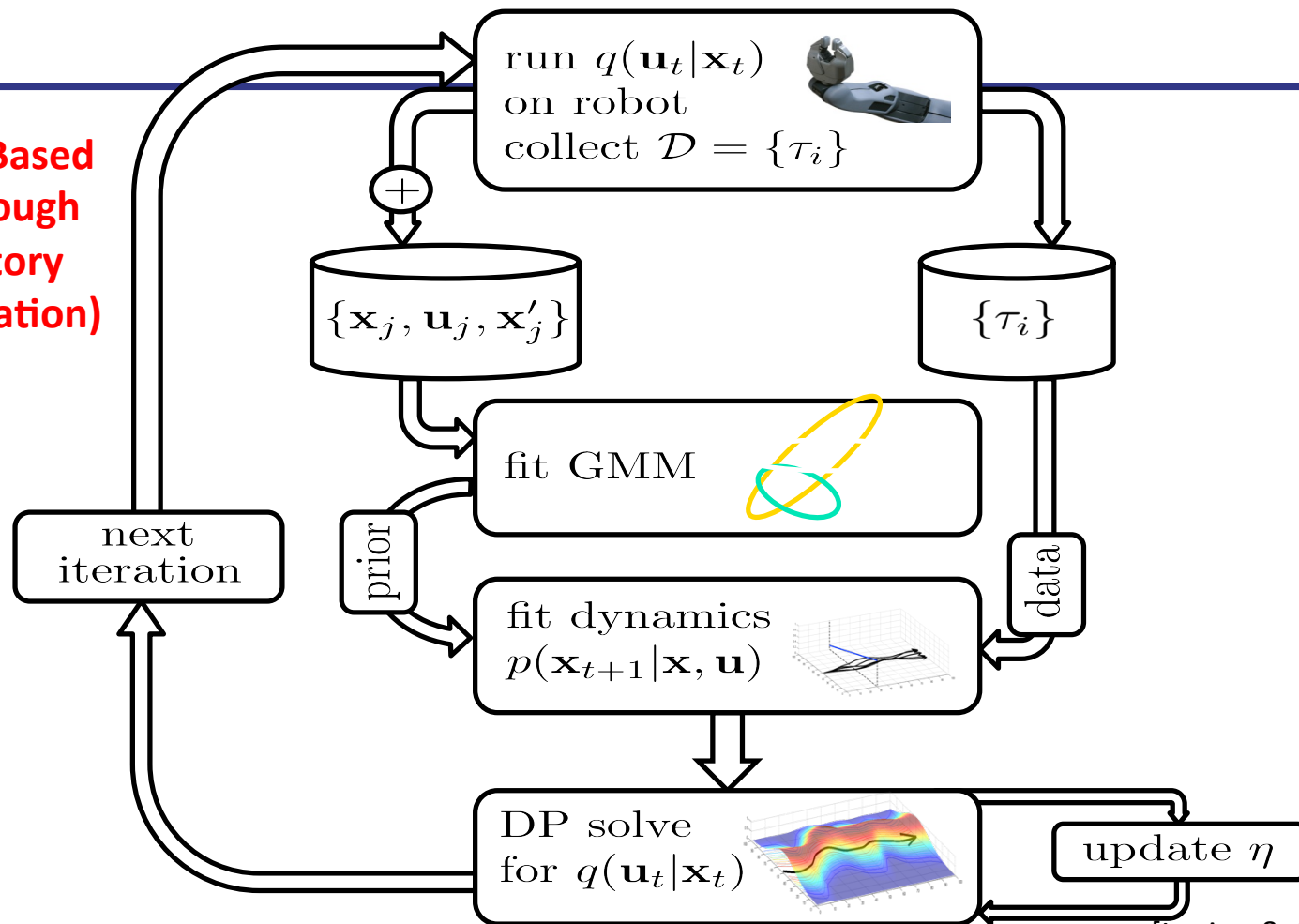
- Issue with two-phase pipeline

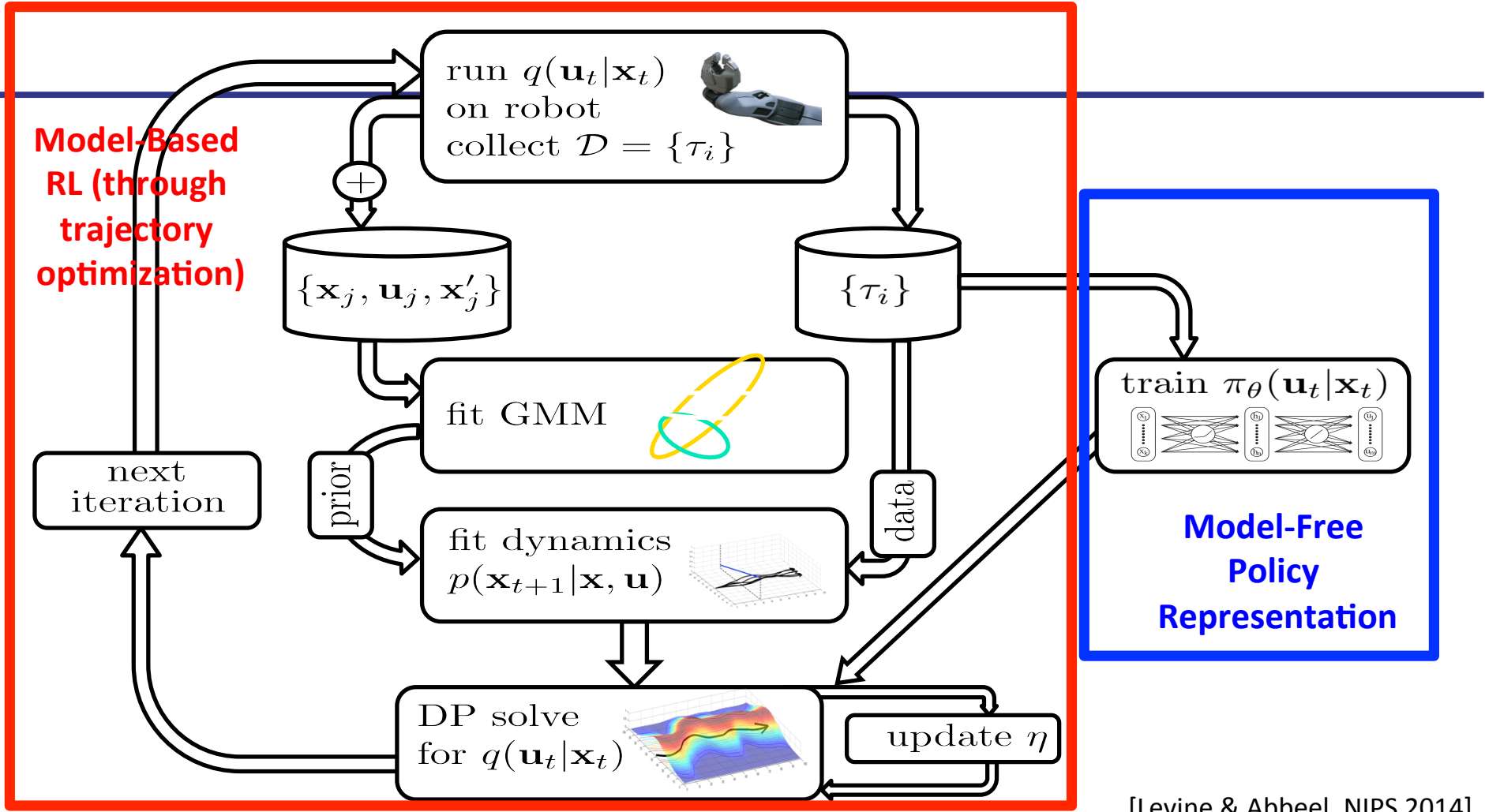
- Representational mismatch trajectory distribution vs. neural net

→ Joint optimization

$$\max_{\{\pi^{(i)}\}, \theta} \sum_i \mathbb{E} \left[ \sum_{t=0}^H R(s_t^{(i)}) \mid \pi^{(i)} \right] - \lambda \sum_i \|\pi^{(i)} - \pi_\theta\|$$

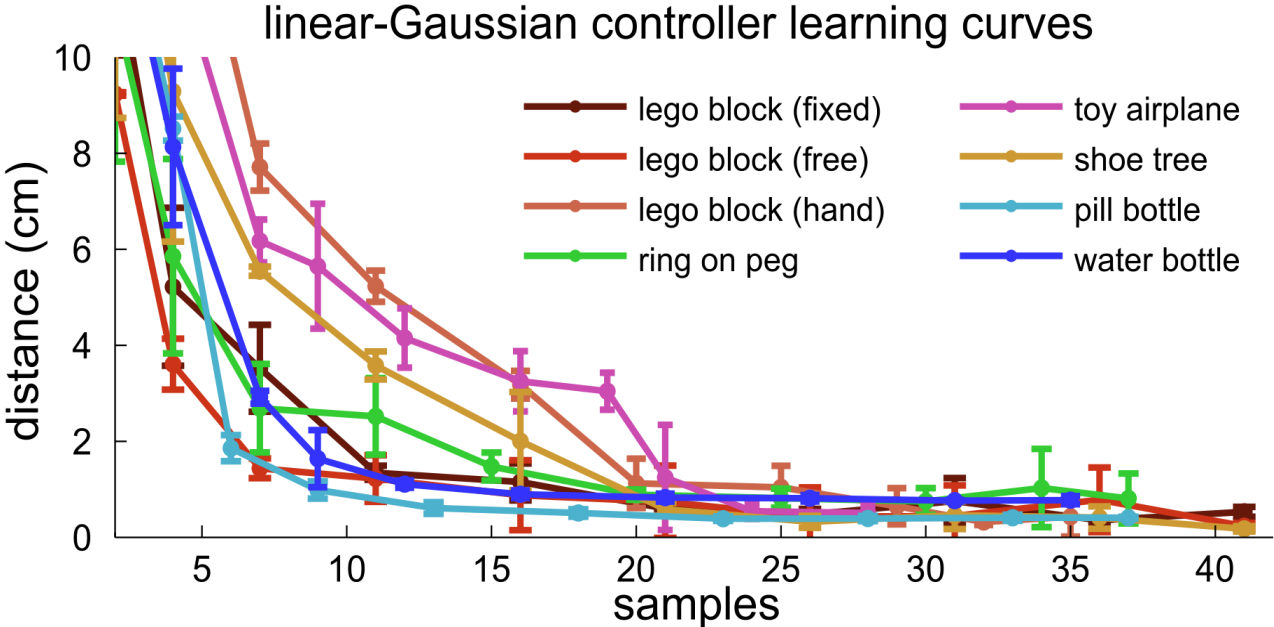
**Model-Based  
RL (through  
trajectory  
optimization)**





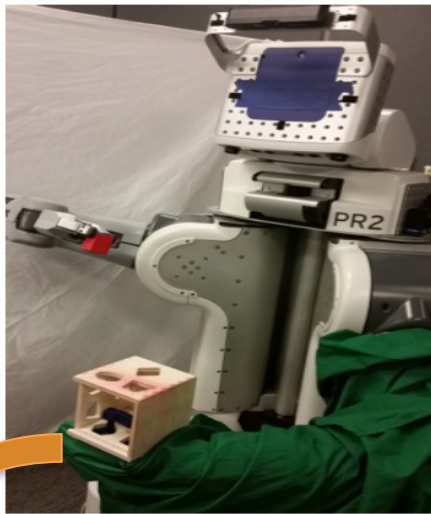
[Levine & Abbeel, NIPS 2014]

# Linear-Gaussian Controller Learning Curves

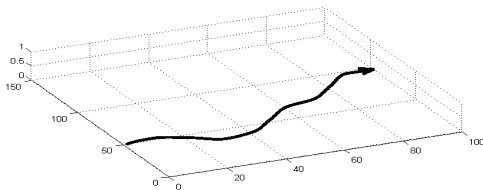


# Instrumented Training

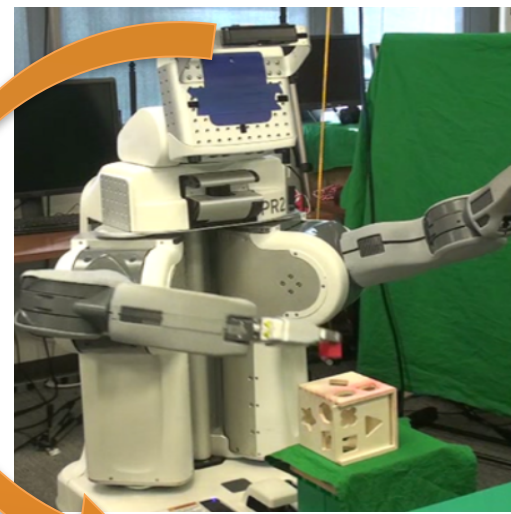
training time



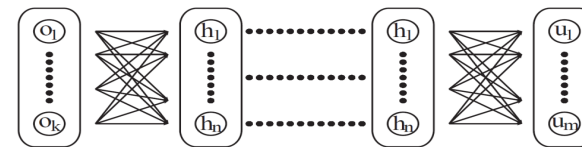
$$\mathbf{x}_t \rightarrow \mathbf{u}_t$$



test time

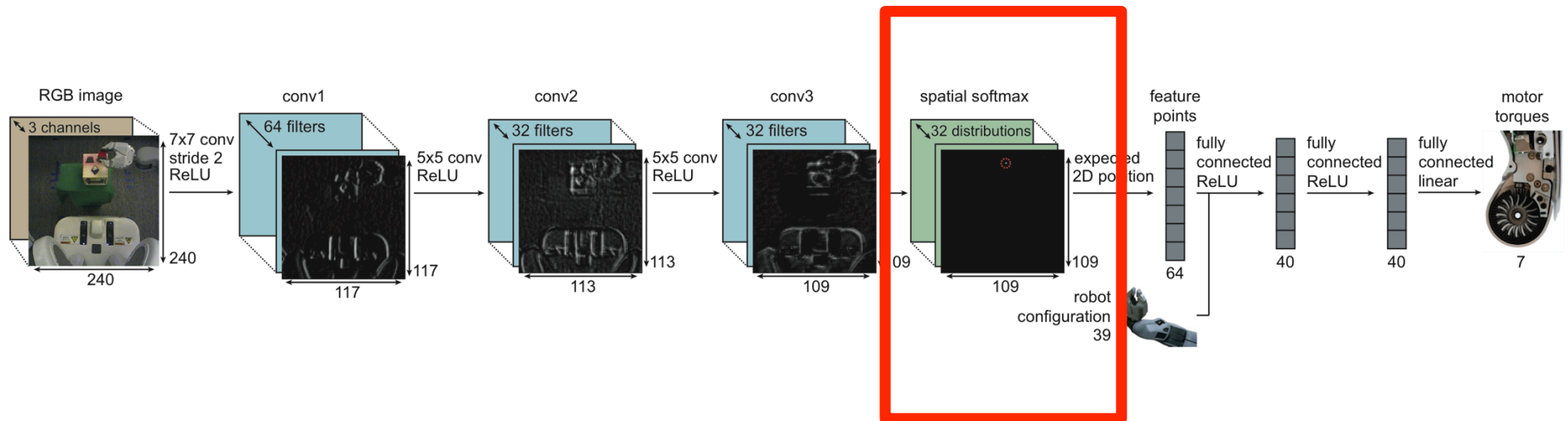


$$\mathbf{o}_t \rightarrow \mathbf{u}_t$$



Pieter Abbeel -- UC Berkeley / OpenAI / Gradescope

# $\pi_{\theta}$ Deep Spatial Neural Net Architecture



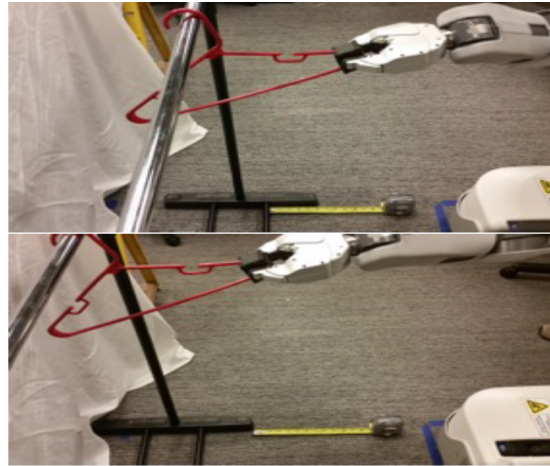
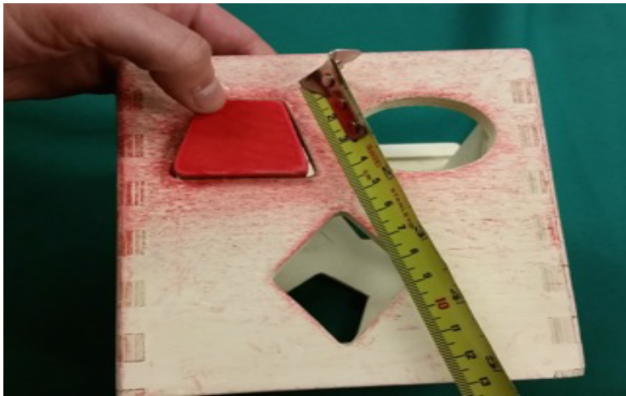
(92,000 parameters)

[Levine\*, Finn\*, Darrell, Abbeel, JMLR 2016]

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# Experimental Tasks

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[Levine\*, Finn\*, Darrell, Abbeel, JMLR 2016]

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# Learning

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[Levine\*, Finn\*, Darrell, Abbeel, JMLR 2016]

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# Visuomotor Learning Directly in Visual Space

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[Finn, Tan, Duan, Darrell, Levine, Abbeel, ICRA 2016]

Related work: Embed to Control [Wattenberg, Springenberg, Boedecker, Riedmiller, 2015]

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# Visuomotor Learning Directly in Visual Space

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[Finn, Tan, Duan, Darrell, Levine, Abbeel, 2015]

# Visuomotor Cost Function Learning

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- Learning from goal image can be great
- But:
  - Often other objects in environment --- don't actually expect to perfectly match example goal image
  - Goal image might not reveal much about how to get there



# Visuomotor Cost Function Learning

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→ infer cost function from demonstrations

Challenges:

- underdefined problem
- difficult to evaluate learned cost
- large perceptual input spaces

# Prior Approaches

repeatedly solve MDP

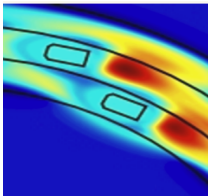


Abbeel & Ng '04

Ziebart et al. '08

Ratliff et al. '09

use known dynamics

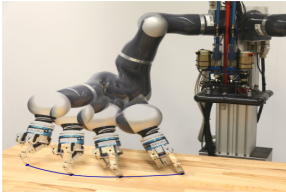


Todorov '06

Levine et al. '12

Dragan et al. '12

use hand-designed features



Boularias et al. '11

Kalakrishnan et al. '13

Doerr et al. '15

# Desiderata

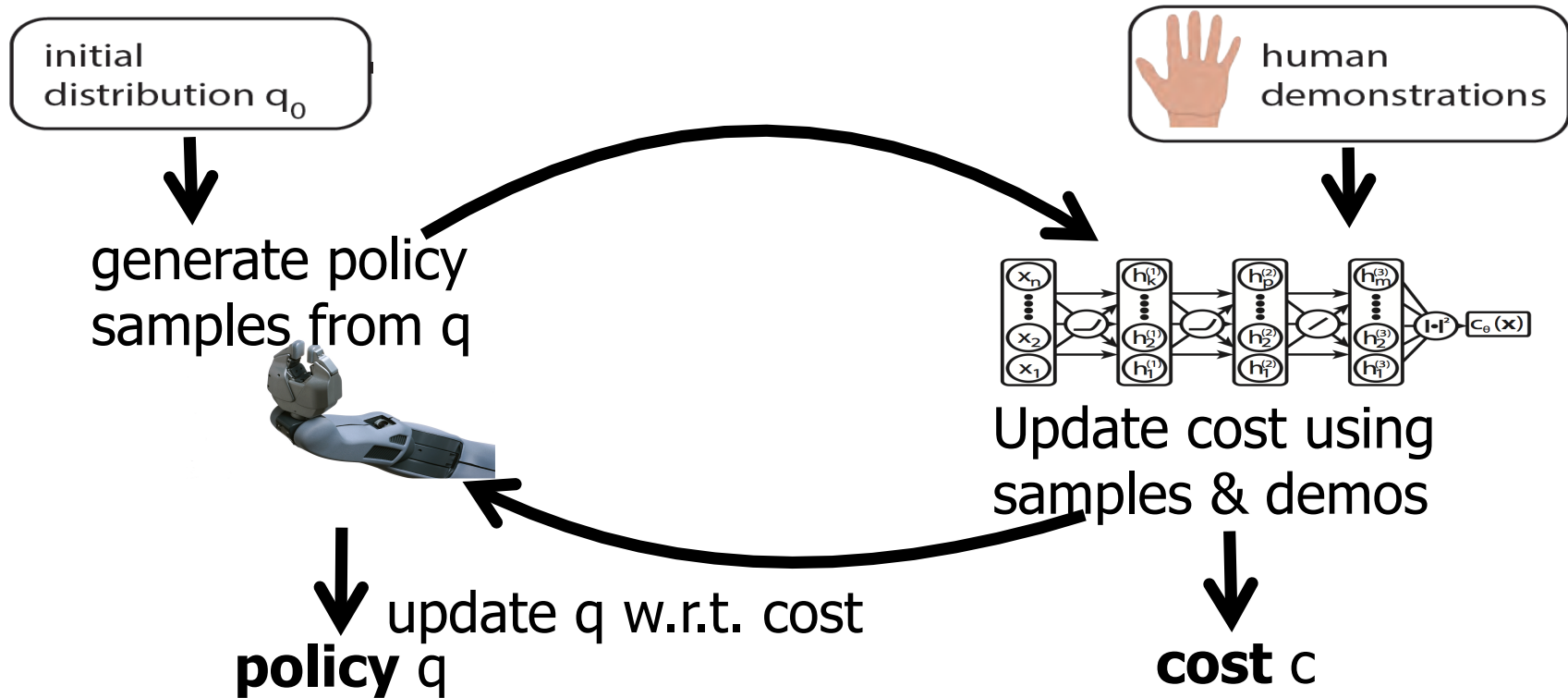
avoid (repeatedly) solving the MDP

handle unknown dynamics

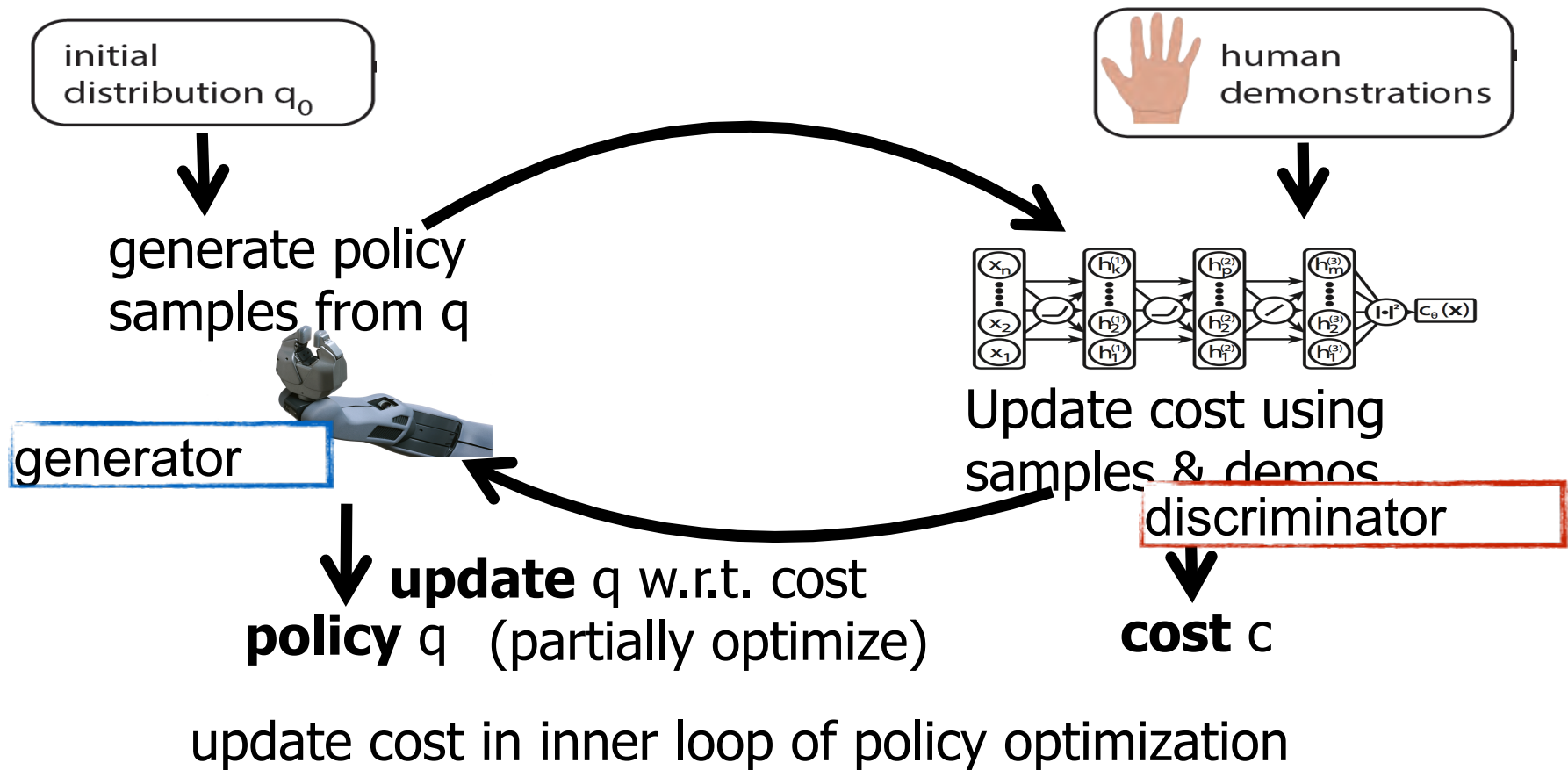
*learn features with  
flexible, nonlinear  
cost parametrization*

+ sample efficiency

# Guided Cost Learning

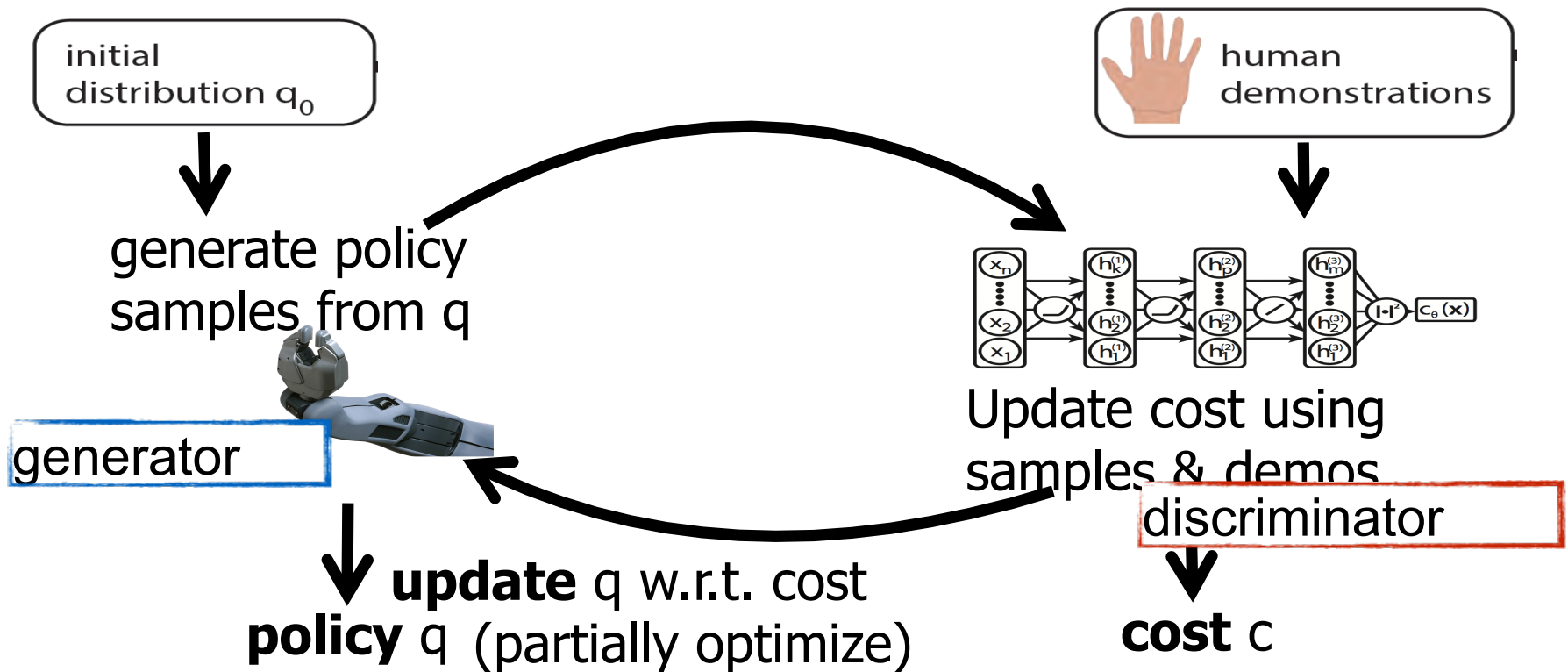


# Guided Cost Learning





# Guided Cost Learning

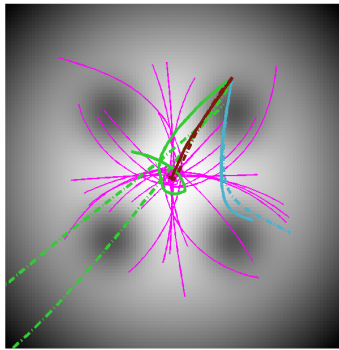


Ho et al., ICML '16, arXiv '16  
Kim & Benaio, arXiv '16

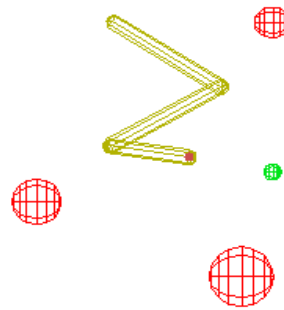
# Experiments

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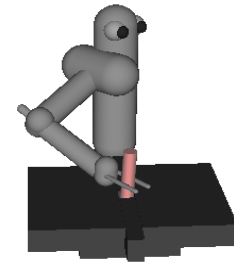
2D navigation



2D reaching



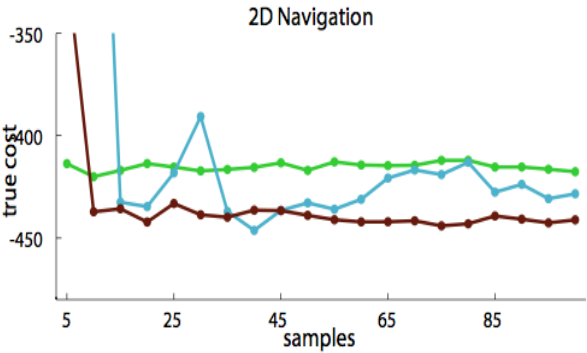
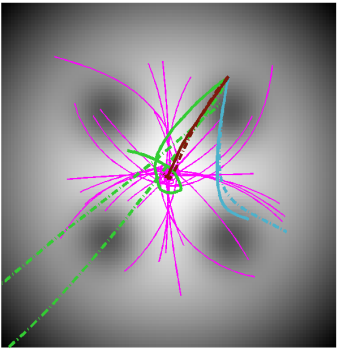
peg insertion



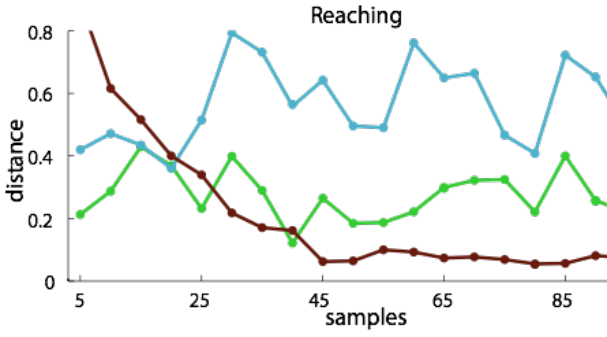
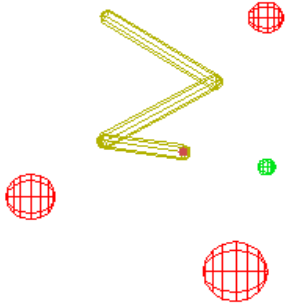
high-dimensional continuous states & actions  
direct torque control  
complex contact dynamics

# Experiments

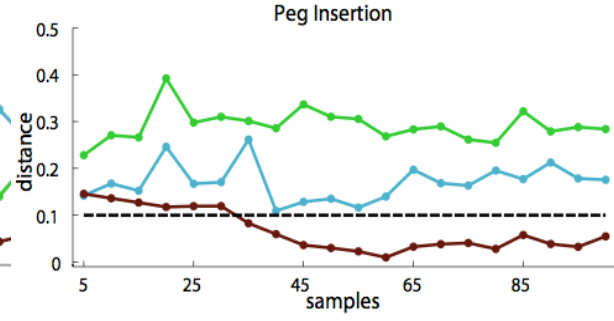
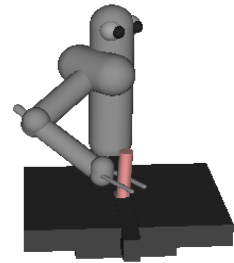
## 2D navigation



## 2D reaching



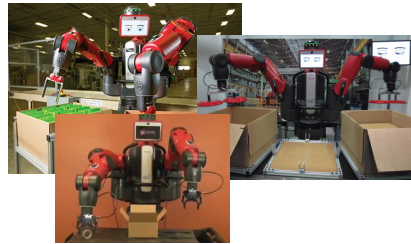
## peg insertion



# Frontiers

- Shared and transfer learning

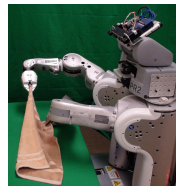
YouTube



- Memory

- Estimation
- Temporal hierarchy / goal setting

- Applications



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**Thank you**